

1765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas et al.

Serial No.: 10 056,342

Filed: 1 24 2002

Title: METAL POLISHING SLURRY  
HAVING A STATIC ETCH  
INHIBITOR AND METHOD OF  
FORMULATION

Group Art Unit:

1765

Examiner:

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MAY 07 2002

TC 1700

Attorney Docket No.: 010025US

Commissioner for Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

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The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

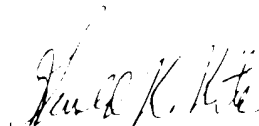
Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 23, 2002

Typed Name: Barbara A. Wiley

Signature: Barbara A. Wiley



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Date: April 23, 2002

Telephone No.: 302-366-0500

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Substitute for form 1449A PTO				<b>Complete if Known</b> <b>Application Number</b> 10/056,342 <b>Filing Date</b> 1/24/2002 <b>First Named Inventor</b> Thomas et al. <b>Group Art Unit</b> 1765 <b>Examiner Name</b> <b>Attorney Docket Number</b> 010025US	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)					
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,001,269		Sethuraman et al.	12-14-1999	
		5,714,407		Maeno et al.	02-03-1998	
		6,136,711		Grumbine et al.	10-24-2000	
		20010013506	A1	Chamberlin et al.	08-16-2001	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
		WO	99/67056	A1	Mravic et al.	12-29-1999		
		WO	01/21724	A1	Thomas et al.	03-29-2001		
		WO	01/02134	A1	Lack et al.	01-11-2001		

Examiner Signature		Date Considered	
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\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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